



1 The Significance of Formal Features 2 in Language Change Theory 3 and the Evolution of Minimizers

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5 **Abstract** On the one hand, this paper puts forward that the historical evolution
6 of an n-word is conditioned by the presence or absence of a syntactic formal fea-
7 ture [uNeg]. Particularly, it shows that historically minimizers can either become
8 Polarity Items or Emphatic Polarity Particles (with metalinguistic content)
9 depending on their having an uninterpretable formal feature [uNeg] or not. On
10 the other hand, it points out three different ways of fixing the syntactic expres-
11 sion of negation within natural languages—i.e. three different ways of licensing
12 the [uNeg] formal feature: (1) under an unvalued [iNeg] Pol feature and either a
13 Focus Operator that encodes the meaning [same]/[reverse], or a Force Operator
14 that encodes [objection]; (2) under an anti-veridical operator Op_{\neg} [iNeg]; and (3)
15 under a non-veridical operator. Furthermore, the paper argues in favour of the sig-
16 nificant role of syntax in the expression of metalinguistic negation. Hypotheses are
17 tested through a syntactic and discursive characterization of three different types
18 of Catalan negative expressions (*plal/poc* ‘no’, *pas* ‘not at all’, *gens/gotalmica*
19 ‘any, none, nothing’) to show that their diachronic evolution, their distributional
20 behaviour from a Romance comparative standpoint, and their licensing require-
21 ments fit perfectly. The contrast between two Old Catalan items with a similar
22 origin, distribution and evolution (*pas* and *gens*), displays that *pas* had a formal
23 [uNeg] feature licensed under a non-veridical or an anti-veridical operator in Old
24 Catalan and, hence, it has evolved into a Negative Emphatic Polarity Particle
25 (NEPP) with metalinguistic content in Modern Catalan, while *gens* did not and
26 it has become a simple Polarity Item (PI). It is a well-known fact that Catalan *pas*
27 conveys metalinguistic negation (that is, it intervenes in presupposition-denying
28 contexts, descriptive semantic contradictions or other types of objections to a
29 previous assertion), whereas *gens* does not. As for the loci of [uNeg] licensing,

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30 they are confirmed when tested through the Catalan and Italian data. First, it is
 31 shown that *pas* has undergone a change in its licensing conditions, so that Modern
 32 Catalan *pas* is licensed under anti-veridical operators (i.e., the negative marker
 33 *no*, which is underspecified as $Op\bar{\neg}$ [iNeg]). Second, Modern Catalan *poc* has an
 34 [uNeg] formal feature which is licensed under an unvalued [iNeg] Pol feature and
 35 a Focus Operator that acts as a probe for its movement to the Specifier of FocusP.
 36 And third, *pla* is licensed under an [iNeg] Pol feature and the relative polarity fea-
 37 ture [objection] encoded in a ForceP Operator. Comparative data prove that Italian
 38 *mica* has an uninterpretable formal feature [uNeg] that can be licensed under two
 39 operators: First of all, under an [iNeg] Pol feature and a Focus Operator, in the
 40 same way as Modern Catalan *poc*. And, secondly, under an anti-veridical operator
 41 ($Op\bar{\neg}$ [iNeg]), like Modern Catalan *pas*.

42 **Keywords** Formal features • Diachronic evolution • Negative expressions •
 43 Metalinguistic negation • Licensing conditions • Locus of licensing •
 44 Grammaticalisation pathways • Microvariation

45 1 Introduction

46 The historical development of negative expressions has been studied since at least
 47 Jespersen. They fall in two general classes: “indefinites of either positive or nega-
 48 tive morphological character within the scope of negation”¹ and “minimizers
 49 denoting small entities or negligible quantities from various domains” (Horn
 50 2010a: 2, 2001: 452–456). It is the latter that are dealt with in this paper. The
 51 detailed study of expressions that are restricted to non-veridical contexts contrib-
 52 utes to the typology of negative polarity items (Hoeksema 2010: 854–855).

53 Concerning the diachrony of reinforced negation, Van der Auwera (2010:
 54 75–85) identifies three stages with transition periods in which the old pattern is
 55 in competition with the new one. The older pattern may remain either as a gen-
 56 eral option or as a restricted one. Thus, the availability of two or more variants
 57 in the same phase can result into (i) register (ii) region or (iii) discourse-prag-
 58 matics specialization—see also Hansen and Visconti (2009) on the role of rein-
 59 forced negation in the diachronic evolution of French and Italian negators. Some
 60 scholars have pursued the idea that polarity items are primarily rhetorical devices,
 61 others wonder whether the doubling strategy is a matter of emphasis, strength-
 62 ening or clarity (Van der Auwera 2010: 79–80). In fact, stress is posited to have

¹I leave aside (N)PIs that come from indefinites, such as *ningú* ‘nobody’ or *res* ‘nothing’. Many authors use the term PI (Vázquez-Rojas and Martín 2007; Labelle and Espinal 2013, 2014), where others use weak NPI (Batllori et al. 1998; Martins 2000) for negative expressions licensed under non-veridical operators. In this paper I am using PI as equivalent to weak NPI, and NPI as strong NPI. As for the licensing conditions of NPIs, see Horn (this volume).



63 played a role in the process of change of Dutch *enig* “from a non-referential
64 indefinite found primarily in non-veridical contexts into a regular negative polar-
65 ity item restricted to downward-entailment contexts.” (Hoeksema 2010: 854–855).
66 Similarly, Larrivé (2010: 2253) shows that *ne* insertion by middle-class speakers
67 of Swiss French studied by Fonseca-Greber (2007) can have two functions: regis-
68 ter and emphasis, the pragmatic value of which is signalling speaker evaluation or
69 involvement.

70 Apart from contributing to the understanding of the preceding aspects,
71 research on the diachronic development of Polarity Items (PIs) can shed light
72 on their licensing conditions (Penka and Zeijlstra 2010: 772–775). Since they
73 can be regarded as “the product of a process of grammaticalisation” (Hoeksema
74 2010: 190), the study of their diachronic pathway can help determine the fea-
75 tures intervening in licensing, and can provide us with a model of the features
76 involved in acquisition and parameterisation—see Lightfoot (1991), Jäger
77 (2008), Biberauer (2013), Biberauer et al. (Forthcoming), and the research works
78 of the University of Cambridge *Rethinking Comparative Syntax (ReCOS)* group,
79 among others.

80 According to the *Borer-Chomsky Conjecture* (see Baker 2008: 156), variation
81 is attributable to differences in the features of particular items in the lexicon.
82 Thus, I follow recent work within the Minimalist framework about uninter-
83 pretable (uF) and interpretable (iF) formal features (Chomsky 1995, 2000).
84 Formal features are either interpretable or uninterpretable. The former are rel-
85 evant at LF, while the latter, the uninterpretable ones, are valued and only sur-
86 vive to PF—see Pfau (this volume, Sect. 2.3) for a comprehensive explanation
87 of the role that uninterpretable and interpretable features play in negation across
88 languages.

89 As put forward by the *ReCOS* members, UG only provides the child with the
90 uF/iF template. Thus, there are two types of features: purely formal features not
91 connected to semantics and formal features connected to semantics. The child has
92 to learn which features [F] are grammaticalised in its language, and these are the
93 ones that account for linguistic variation. Notice that here the sense of ‘grammati-
94 calised’ is different from that of historical upward reanalysis, and is related to the
95 child’s fixing the feature in grammar.

96 Accordingly, this paper aims at proving that an n-word historical evolution is
97 conditioned by the presence or absence of a syntactic formal feature [uNeg], on
98 the one hand, and at elucidating the significance of syntactic formal features in
99 language change theory, on the other. Catalan data confirm that historically mini-
100 mizers can either become Polarity Items or Emphatic Polarity Particles with meta-
101 linguistic content depending on their having an [uNeg] feature or not. Modern
102 Catalan *gota*, *gens* and *mica* ‘any, nothing, none’ lack this [uNeg] formal feature,



103 whereas Modern Catalan *poc* ‘no’, *pla* ‘no’ and *pas* ‘not at all’² evolved into nega-
 104 tive empathic polarity particles NEPP³ because of their [uNeg] formal feature.

105 Moreover, this piece of research points out the existence of three different
 106 ways of fixing the syntactic expression of negation within natural languages: (1)
 107 under an unvalued [iNeg] Pol feature and either a Focus Operator that encodes the
 108 meaning [same]/[reverse], or a Force Operator that encodes [objection]; (2) under
 109 an anti-veridical operator Op_{\neg} [iNeg]; and (3) under a non-veridical operator.
 110 Besides, it provides evidence in favour of considering that metalinguistic negation
 111 can be captured in syntactic terms—see Martins (2014) for an account of metalin-
 112 guistic negation along the same lines. The metalinguistic value that will be shown
 113 to characterise Catalan *poc*, *pla* and *pas* marked negators is understood as follows:

114 While two distinct uses of sentential negation must indeed be admitted, the marked,
 115 nondescriptive variety is not a truth-functional or semantic operator on propositions, but
 116 rather an instance of the phenomenon of METALINGUISTIC NEGATION—a device for objecting
 117 to a previous utterance on any grounds whatever, including the conventional or conversa-
 118 tional implicata it potentially induces... (Horn 1989/2000: 363)

119 And will be taken to encompass presupposition-cancellation following Horn
 120 (1985, 1989/2001).⁴ The way in which the features representing these interpreta-
 121 tive effects are valued is by an agreement relation in dedicated syntactic positions.
 122 The syntactic framework adopted for the analysis is Rizzi (1997) *Theory of left*
 123 *periphery* and the split CP hypothesis, which results in a number of hierarchically
 124 organized specialized positions, such as: ForceP, TopicP, FocusP, and FinP.

125 The paper is organized in 6 sections. This introduction offers a very general
 126 overview of some of the major subjects concerning diachronic approaches to rein-
 127 forced negation and the semantic import of metalinguistic negation, as well as an
 128 outline of the main aims of the paper. The working hypotheses are stated in the
 129 second section and the following sections are devoted to seeing they relate to the
 130 empirical data. Thus, Sect. 3 offers a general description of Modern Catalan min-
 131 imizers and negative emphatic polarity particles, along with an account of their
 132 historical pathways from Old Catalan to Modern Catalan. Then, a comparative

²Catalan *poc* and *pla* are dialectal: *poc* (‘no’) is used in the Northern Region of Catalonia (in the dioceses of Girona and Elne), and *pla* (‘yes’ and ‘no’), which is receding, is employed by adults and mostly within the generations of elder speakers of the North Oriental part. As for *pas*, it is common in Northern and Central varieties of Catalan, but its distributional position with reference to the verb restricts it to more limited areas: the configuration ‘Aux *pas* Participle’ (*no l’he pas vist* ‘I haven’t seen him at all’) is most frequently used in the Catalan spoken in Girona, l’Empordà and la Plana de Vic.

³See Batllori and Hernanz (2008, 2009, and above all 2013) for a detailed account of emphatic polarity particles and a specific explanation of the distinction between high and low particles in Catalan and Spanish. High negative emphatic polarity particles—HNEPP—are licensed in the left periphery, either in FocusP or in ForceP, whereas low negative emphatic polarity particles—LNEPP—are licensed within ν P. Concerning high and low NEPP, see also Breitbarth et al. (2013).

⁴Notice that the term Metalinguistic Negation MN is used in a variable way in the literature—see Larrivé (2010) and Wallage (this volume) for the notion of pragmatic activation.



133 synchronic approach is undertaken in Sect. 4, where the hypothesis concerning the
 134 loci of licensing is empirically tested within Romance languages, mostly Catalan
 135 and Italian. Section 5 presents the reader with further evidence in favour of a
 136 syntactic characterization of metalinguistic negation along the lines of Martins
 137 (2014). And, finally, Sect. 6 concludes the paper.

138 2 Significance of Formal Features in the Evolution 139 of Minimizers and Loci of Licensing

140 This section offers a description of the hypotheses concerning the behaviour and
 141 evolution of n-words which in the following sections will be tested against the
 142 empirical evidence (i.e., Catalan and other Romance data).

143 A general overview of the data suggests that some minimizers, some quantitative
 144 adverbs and some manner adverbs become negative emphatic polarity particles with
 145 metalinguistic content because they have an [uNeg] formal feature. In particular,
 146 Modern Catalan data show that there is a small group of polarity items, which origi-
 147 nated from minimizers (*gens*, *gota*, and *mica*), that should be differentiated from a
 148 second group that goes back either to minimizers (like *pas*), quantitative adverbs
 149 (like *poc* [< PAUCU ‘little’]) or manner adverbs (like *pla* [< PLANE ‘clearly, plainly’]).

150 The examples in (1) and (2) display the differences between these two types of
 151 items. The contrast conveyed by *gens* [< GĚNUS ‘genus, kind’], in (1a), *gota*
 152 [< GŪRTA ‘drop’], in (1b), and *mica* [< *MĪCCA < MĪCA ‘particle, speck’], in (1c), on
 153 the one hand, and *pas* [< PASSU ‘step’], in (2), on the other, is due to the fact that
 154 the latter is regarded as a metalinguistic negator with counter-presuppositional
 155 meaning that “implies an enrichment of the negative concept” with “an overtone
 156 of rejection or confirmation of an expectation” (Espinal 1993: 361),⁵ whereas the
 157 former (*gens*, *gota*, and *mica*) are plain PIs and can be used out of the blue.

- 158 (1) a. Aquest nen no menja gens.
 this child not eats nothing
 ‘This child doesn’t eat
- b. No hi veig gota. M’ hauré de posar ulleres.
 not there see none to-me will-have ofwearglasses
 ‘I don’t see anything at all. I’ll have to wear glasses.’
- c. No ho sé mica
 not it know nothing
 ‘I don’t know it at all’
 [DCVB, sv. *mica*]

⁵That is, a metalinguistic negative meaning that contributes to implicatures, but not to truth-conditions. As for pragmatic activation in relation to Catalan *pas*, see Wallage (this volume, Sect. 2.1).



- (2) A: – Trobaràs en Joan a la festa.
 will-meet the John at the party
 “–You will meet John at the party.”
- a. B – Ell no hi ha anat *pas*.
 he not there has gone at-all
 “– No, he did not go there”
- b. B – Ell no hi ha *pas* anat.
 he not there has at-all gone
 “– No, did not go there”

159

160 The sentences in (1) do not convey any objection, while in (2) speaker A
 161 assumes that speaker B is going to meet John at the party and speaker B, in (2a)
 162 and (2b), denies the presupposition.

163 Compared to *pas*, a previous expectation or presupposition is also needed to use
 164 *poc* [< PAUCU ‘little’], in (3a), and *pla* [< PLANE ‘clearly, plainly’], in (4a). They dis-
 165 play some significant differences, though. Besides, it is worth reminding that *poc* and
 166 *pla* come from a quantitative and a manner adverb, respectively, and that in Modern
 167 Catalan they coexist with these adverbs, as illustrated in (3b) and (4b), correspondingly.

- (3) a. NEPP
 Poc he vist en Joan aquesta tarda.
 no have seen the John this afternoon
 “I haven’t seen John this afternoon.”
- b. QUANTITATIVE ADVERB
 He vist *poc* en Joan aquesta setmana.
 have seen little the John this week
 “I haven’t seen John much this week”

168

- (4) a. NEPP
 – Jo *pla* he estat el que t’ha fet aixó. –Sí, Josafat, fores tu
 I no have been the that CL_{DAT} have done this / yes Josafat were you
 “– I wasn’t the one who did you this. – Yes, Josafat, it was
 you who did it”
 [CTILC: 1906. Prudenci Bertrana, *Josefat*: 59]
- b. MANNER ADVERB
 Senyor, podeu donar-vos *pla* les gràcies a vós mateix, d’a-
 questa pèrdua gran.
 Sir, you-can give-you clearly the thanks to you self of-this
 loss big
 “Sir, you can clearly thank yourself for this complete loss”
 [CTILC: 1945. Josep M. de Sagarra, *La tempestat*: 29]

169



170 Together with *pas*, for most speakers *poc* and *pla* are “negative logical opera-
 171 tors which require access to somebody’s expectations about the likelihood of
 172 either the truth or falseness of the proposition expressed or desired, and lead to
 173 some cognitive effects” (Espinal 1993: 367)—see Rigau (2004, 2012) for more
 174 information on *pla*. Yet, their most distinctive feature is the fact that the latter can
 175 be autonomous negation markers⁶ and can even license (N)PIs, whereas the for-
 176 mer requires the presence of another negator and does not license (N)PIs.⁷ For
 177 more information on these NEPPs, see Batllori and Hernanz (2013).

- 178 (5) a. En Joan *poc* ha vist *ningú*.
 179 the John no has seen nobody
 180 “John HASN’T seen anybody”
- 181 b. En Joan *pla* (*que*) ha vist *ningú*.
 182 the John no (that) has seen nobody
 183 “John HASN’T seen anybody”
- 184 c. *En Joan ha *pas* vist *ningú*.⁸
 185 the John has not-at-all seen nobody

186 In accordance with these data, I posit that they must have had an uninterpret-
 187 able formal feature [uNeg] in order to evolve into NEPPs and that the changes in
 188 their locus of licensing condition the nature of these items.

189 Hence, the hypotheses I put forward are the following:

- 190 (I) Any minimizer, quantitative adverb or manner adverb must have grammatical-
 191 ised an uninterpretable formal feature [uNeg] in order to evolve into a NEPP.
- 192 (II) The loci of licensing of the [uNeg] formal feature will either be:

⁶Notice that there is inter-speaker variation in the use of *poc* as an autonomous negative marker. Crucially, speakers from Girona and Figueres who are currently competent in its use seem to reanalyse *poc* as a negative marker that can be used out of the blue, without any cognitive effect. For more information on this, see Batllori and Rost (2013).

⁷Horn (2002: 77) quotes Yoshimura in relation to the meaning of metalinguistic or echoic negation, and mentions that it displays procedural rather than conceptual meaning, which explains its failure to license NPIs. If we take into account Escandell-Vidal and Leonetti (2000: 376) observation that there can be a systematic association between formal syntactic functional categories and the semantic notion of procedural meaning, the syntactic and cognitive traits of *pas* can be easily captured—see Sect. 5. Thus, *pas* can be regarded as a MN and, accordingly, its target “is what is not asserted”, what “is not part of explicit content and/or not communicated” (Horn 2002: 78–79). As for *pla* and *poc*, as suggested by Zeijlstra, NPIs would be licensed by Focus, rather than by these metalinguistic negators. This would explain why only HNEPPs license NPIs—see footnote 3.

⁸This utterance would be grammatical in the Catalan spoken in the South of France (Conflent, Vallespir and Roussillon), in which *pas* is the negative marker.



- 193 (a) under an unvalued [iNeg] Pol(arity) feature and either a Focus Operator
 194 that encodes the meaning [same] /[reverse], or a Force Operator that
 195 encodes [objection];
 196 (b) under an anti-veridical operator Op_{\neg} [iNeg]; or
 197 (c) under a non-veridical operator.

198 (III) Depending on the locus of licensing the historical evolution will bring about:

- 199 (a) a high negative emphatic polarity particle (HNEPP) with metalinguistic
 200 content;
 201 (b) a low negative emphatic polarity particle (LNEPP) with metalinguistic
 202 content; or
 203 (c) a negative marker.

204 These hypotheses are tested in the following sections.

205 3 Catalan Data

206 3.1 Modern Catalan

207 The contrasts illustrated by examples (1) to (5) of the preceding section may seem
 208 to point to a similarity between *pas*, in (2), and *gens*, *gota* and *mica*, in (1). Notice,
 209 however, that their distribution and meaning is remarkably different in Modern
 210 Catalan, as the data in (6) shows.

211 In (6a) and (6c) *pas* conveys an implicature and, accordingly, it bears a counter-
 212 presuppositional value. For instance, these sentences can be used in a context in
 213 which a girl expected a boy to buy cheese, but he does not. Then, he says he has
 214 not bought cheese implying that he has not fulfilled her expectations. In this case,
 215 (6a) and (6c) would be equally appropriate utterances (for they have exactly the
 216 same meaning).⁹ In regard to (6d), it does not carry any comparable pragmatic
 217 value to that of (6a) and (6c), and it only expresses the lowest degree of a scale. As
 218 we will see in detail in Sect. 3.2, the reason why (6b) is ungrammatical is directly
 219 related to the syntactic and semantic differences between *pas* (a LNEPP in
 220 Modern Catalan), on the one hand, and *gens*, *gota* and *mica* (PIs in Modern
 221 Catalan), on the other, which I attribute to the presence or absence of an uninter-
 222 pretable formal feature [uNeg] (as stated in hypothesis I, in Sect. 2).

⁹*Pas* has been regarded as a vP -adjunct (see Rowlett 1998; Zeijlstra 2004), which might explain the distribution displayed by these examples. See footnote 33, though.



- 223 (6) a. No n'he *pas* comprat (de formatge).
 224 not of-it-have not-at-all bought (of cheese)
 225 "I haven't bought any cheese at all"
- 226 b. *No n'he *gens/gota/mica* comprat (de formatge).
 227 not of-it-have any bought (of cheese)
- 228 c. No n'he comprat *pas* (de formatge).
 229 not of-it-have bought not-at-all (of cheese)
 230 "I haven't bought any cheese at all"
- 231 d. No n'he comprat *gens/gota/mica*¹⁰ (de formatge).
 232 not of-it-have bought an (of cheese)
 233 "I haven't bought any cheese"

234 In (6a) and (6c), the [uNeg] feature of the NEPP *pas* is licensed under the anti-
 235 veridical operator Op_{\neg} [iNeg].¹¹

236 The examples in (7) show that some of these items can co-occur in the same
 237 sentence. Native speaker of the Northern Catalan variety under study agree in that
 238 these utterances are very colloquial and highly emphatic, though—see Rossich
 239 (1996).

- (7) a. En Joan *poc* ho ha fet *pas gens/gota/ni mica* (de menjar).
 the John no it has done not-at-all any (of eat)
 "John HASN'T eaten anything at all"
- b. En Joan *poc* ho ha *pas fet gens/gota/ni mica* (de menjar).
 the John no it has not-at-all done any (of eat)
 "John HASN'T eaten anything at all"
- c. En Joan *pla* ho ha *pas fet gens/gota/ni mica* (d'estudiar).
 the John no it has not-at-all done any (of study)
 "John HASN'T studied even a little bit at all"
- d. En Joan *pla* ho ha fet *pas gens/gota/ni mica* (d'estudiar).
 the John no it has done not-at-all any (of study)
 "John HASN'T studied even a little bit at all"

240

¹⁰Some Catalan varieties use *ni mica* instead of *mica*.

¹¹Tubau (2008: 249–251) considers *pas* "a polarity item with underspecified polarity features". I would rather say, however, that it is a NEPP with an uninterpretable formal feature [uNeg] that in some varieties (such as the one of Sant Ramon—Lleida) can still be licensed under a non-veridical operator, as it was in Old Catalan—see (15d).



241 These items exemplify three different kinds of negative expressions: HNEPPs
 242 (*pla* and *poc*), LNEPPs (*pas*) and PIs (*gens*, *gota* and *mica*). As illustrated in (7),
 243 *pla* and *poc* can co-occur with *pas* and also with either *gens*, *gota* or *mica*.

244 3.2 From Old Catalan to Modern Catalan: Historical 245 Pathways

246 In this section, I would like to draw attention to two questions related to the
 247 hypotheses I to III stated in Sect. 2.

- 248 (i) How is the negative value of these negative expressions triggered? (Remember
 249 that hypothesis I states the need to have grammaticalised a formal feature
 250 [uNeg] so as to become a negative emphatic polarity particle NEPP).
 251 (ii) Is there a different historical pathway to become either PI or NEPP? And, if
 252 so, why? (Remember that hypothesis III states that an item evolves into a High
 253 NEPP, a Low NEPP or a negative marker depending on the locus of licens-
 254 ing, and that hypothesis II establishes that the [uNeg] feature can be licensed:
 255 i. under an unvalued [iNeg] Pol feature and either a Focus Operator [same]/
 256 [reverse] or a Force Operator [Objection]; or ii. under an anti-veridical Op¬
 257 [iNeg]; or iii. under a non-veridical operator).

258 In order to shed light on these issues, I examine the evolution of *gens*, *gota*, and
 259 *mica* towards PIs, on the one hand, and *pas*, *poc* and *pla* towards NEPPs, on the
 260 other, so as to test my main hypotheses.

261 Batllori et al. (1998)—for Old Spanish—and Martins (2000)—for several Old
 262 Romance languages—provide evidence to determine that minimizers and indef-
 263 inites change from [affirmative] to [α negative] in Old Spanish and Old Catalan,
 264 which means that a negative value can obtain whenever they are licensed by a neg-
 265 ative marker. As illustrated in (8), minimizers¹² were already used in Latin, which
 266 was a *Duplex negatio affirmat* (DNA) language, in principle.

¹²See Horn (2001: 452–456) for an inventory of NPI minimizers, and an account of the system-
 atic use of indefinites to reinforce negation. Regarding the use of minimizers in Latin and their
 evolution to Romance PIs, see Batllori et al. (1998), Martins (2000). Horn (2010b: 111–148)
 gives a detailed account of multiple negation, a taxonomy of motives for double negation, and the
 factors intervening in this type of negation.



- (8) a. Quoi neque paratast *gutta* certi consili
 that not have drop firm resolution
 [Plauto, *Pseud.* 397, Väänänen, § 353]
- b. Quinque dies aquam in os suum non coniecit, non *micam*
 panis
 for-five days water in mouth his not entered non crumb of-
 bread
 [Gaius Petronius, c. 27-66 AD, *Satyricon.* XLII]
- c. non licet *transversum digitum* discedere
 not allowed crossed finger move-away
 [Cicerón, *Ac.* 2, 58, Väänänen, § 353]

267 In Early Romance, in the varieties of which *Duplex negatio negat* DNN (see
 268 Horn 2010b: 111–148), minimizers became PIs.¹³ As a result, they had to co-occur
 269 with a negative element, such as the negative marker *no*, in order to license their
 270 negative meaning,¹⁴ otherwise they got a positive value (Martins 2000).

271 Correspondingly, Old Catalan licensed these PIs under non-veridical opera-
 272 tors and they were negative or positive depending on the presence of the negative
 273 marker, as can be seen in (9a) and (9b), respectively.

- (9) a. en terres e regnes que *gens no* us pertanyen
 in lands and kingdoms that none not to-you belong
 “In lands and kingdoms that don’t belong to you at all”
 [*CICA: Tirant lo Blanch.* 14th century, p. 1314]
- b. si *gens* de gentillesa en l’ànimo has... tota l’aurias ensutza-
 da ... amant aquesta
 if some of kindness in the spirit have-_{2SG} all it would-have sul-
 lied loving this
 “If you had any kindness in your soul, it would have been ru-
 ined by loving this woman”
 [*CICA: Corbatxo.* 14th century, p. 87]

274 Old Catalan data in relation to *mica*, given in (10), and *gota*, in (11), confirm
 275 the reanalysis put forward by Roberts (2007: 148) illustrated in (12).

¹³According to Labelle and Espinal (2013, 2014) bare nouns and positive quantifiers become PIs when they acquire a semantic feature that makes them dependent on the presence of a non-veridical operator.

¹⁴This is so, because they are scalar items with an abstract semantic feature that needs to be checked at LF to lock in their pragmatically strongest meaning in the context and prevent further recalibration of meaning (Labelle and Espinal 2013, 2014: 198–199).



- (10) a. E guarda-t que a n'aquest temps no li dóns *mica d'oli*
 and keep-you that in that time not to-him give little of-oil
 “And be careful not to give him any oil in that time”
 [CICA: *Cànon d'Avicenna*. 14th century, 70v]
- b. no y ha tremolament *mica*.
 not it have shivering little
 “there is no shivering at all”
 [CICA: *Cànon d'Avicenna*. 14th century, 78r]

276

- (11) a. no se'n perdé *gota de oli*, que tot caygué damunt los man-
 tells
 not it of-it lost drop of oil, for all fell over the clothes
 “No oil was lost, because it fell in upon the clothes”
 [CICA: *Dietari* [Porcar]. 17th century, 334r]
- b. pasaren més de set mesos que no plogué *gota*
 elapsed more than seven months that not rained drop
 “There had been more than seven months without any rain”
 [CICA: *El 'Libre de Antiquitats' de la Seu de València 3*. 16th
 century, p. 229]

277

278 Thus, it is obvious that these quantitative items underwent the same reanalysis
 279 from non-specific DP objects to clausal negators¹⁵ as Old French *point*—see
 280 Roberts (2007: 146-149):

- (12) no V [DP [D Ø_{non-specific}] [NUMP [NUM *mica/gota/gens* [NP d'oli]]] >
 > no V [NEG *mica/gota/gens*] [VP [DP Ø_{negative} d'oli]] >
 > no V [NEG *mica/gota/gens*] [VP]

281 In Modern Catalan the PIs *mica*, see (13a), and *gota* still coexist with the DPs
 282 *una mica* ‘a little’, as in (13b), and *una gota* ‘a drop’.

¹⁵As suggested by one of the anonymous reviewers, it is worth noticing here that, once *mica* and *gota* appear with intransitive verbs, we can say that they are no longer part of a nominal phrase.



- (13) a. Jo, de vostè, no me'n refio *mica*.
 I of you not to.me-of.you trust nothing
 “I don’t trust you at all”
 [CTILC: Blai Bonet. 1969. *Mister Evasió*.]
- b. dóna-li'n *una mica d'aigua*.
 give to-her-of-it a little of water
 “Give her some water, please”

283 In relation to Old Catalan *pas*, it is generally accepted that its genesis goes
 284 back to a minimizer associated with verbs of movement (a DP complement to the
 285 main verb in expressions like ‘walk a step’, as explained by Larrivé 2010; Meillet
 286 1912, among others), that underwent the entire loss of its D properties to become a
 287 negative clausal element, as shown in (14). For a DP to be entitled to such reanaly-
 288 sis, however, it must have been either an indefinite DP or a bare noun.

- (14) V [DP *pas*] > V [Neg *pas*] VP
 (Roberts and Roussou 2003: 155-157)

289

290 The aforementioned facts entail that, as Old Catalan PIs did, *pas* should appear
 291 either within the scope of a negative operator (*no...pas*) or immediately followed
 292 by the negative operator (*pas no*)¹⁶ to get a negative reading, which can be seen in
 293 (15b) and (15c).

294 In (15a) it expresses its literal nominal sense (i.e., *un pas* ‘a step’), but the
 295 semantic compositional value of the whole sentence is somehow similar to the
 296 cases in which *pas* conveys a negative meaning because of the presence of the
 297 negative marker *no*, like in (15b) and (15c), for instance.

298 Notice that the latter contexts, (15b) and (15c), are exactly the same ones in
 299 which Old Catalan PIs *gens*, *mica* and *gota* obtain their negative reading. If we
 300 compare (9b) with (15d), though, it is obvious that *gens* and *pas* have a relevant

¹⁶Old French *pas* displays several similarities with Old Catalan *pas*, as can be seen in Ingham (2014). The sequence *pas ne* is hardly ever attested in 13th century Old French prose works, but it is found in verse texts, especially in relative clauses. I would like to thank Professor Richard Ingham for this information, and also for the following example:

- (i) Ne portez pas la nuvele en Geth ne as rues d’Escalúne que les filles des
 Philistiens ne se haitent ne *les de ces ki pas ne sunt circumcis s’esléecent*
 “[...] the daughters of those who are not circumcised are rejoicing”
 [c. 1175. Anonymous. *Quatre Livres des Rois*: 62]

In my opinion, the comparative study of these items deserves further research. Unfortunately, a detailed account of this issue goes beyond the scope of this work.



301 difference. In non-veridical contexts *gens* displays a positive meaning, as in (9b),
 302 whereas *pas* conveys a negative value, as in (15d).¹⁷

- (15) a. que Curial no vage *un pas* sens tu
 that Curial not go a step without you
 “...that Curial doesn’t take a step alone”
 [CICA: *Curial e Güelfa*. 15th century, p. 12]
- b. *no* perdonaria Déus *pas* lo pecad
 not would-forgive God not-at-all the sin
 “God wouldn’t forgive any sin at all”
 [CICA: *Homilies d’Organyà* (13th century, p. 122)]
- c. vós *pas* no sabíets aquest cavaler qui era.
 you not-at-all not knew this knight who was
 “you did not know who this knight was at all”
 [CICA: *Crònica* [Desclot]. 13th century, p. II.58]
- d. si la intenció del concili passa contra lo papa, vos
 if the intention of-the council goes against the Pope you
 deuriu captenir en una manera, e en altra si vèyeu que
 should act in a way and in other if you-see that
 la intenció del papa passàs e fos *pas*
 the intention of-the Pope was-accepted and was not-at-all
 apoderada.
 controlled
 “if the council goes against the Pope’s intentions, you should
 act in a particular way, but if you see that the council accepts
 what the Pope is planning to do and the Pope’s intention is
 not subjugated, then you should act in another one”
 [CICA : *Documents de la Cancelleria d’Alfons el Magnànim*.
 15th century, Doc. 11. 1434]

¹⁷As illustrated below, this type of sentences would be ungrammatical in Modern Catalan without the negative marker *no*:

- (i) a. *Si has anat a totes les llibreries i l’has *pas* trobat, és que està exhaurit.
 If you-have gone to all the bookshops and it-have PAS found is that is sold-out
 b. Si has anat a totes les llibreries i no l’has *pas* trobat, és que el llibre està
 exhaurit.
 “If you have been to all the bookshops and you haven’t found it anywhere at
 all, it means that the book is sold out”.



303 This is evidence in favour of hypothesis I, according to which a minimizer must
 304 have an uninterpretable formal feature [uNeg] to become a NEPP, and also clari-
 305 fies the reason why *pas* became a LNEPP (i.e., hypothesis IIIb),¹⁸ while *gens*, *gota*
 306 or *mica* did not. Old Catalan *pas* already had an uninterpretable formal feature
 307 [uNeg], that could even be licensed under a non-veridical operator (i.e., hypothesis
 308 IIc), whereas *gens*, *gota* and *mica* had a positive reading in non-veridical contexts.
 309 *Pas*, *gens*, *gota* and *mica* originated from minimizers and, accordingly, they
 310 displayed many similarities throughout Old Catalan. This is evidenced by the fact
 311 that both Old Catalan *pas* and *gens* can actually be found together with other PIs
 312 in preverbal position followed by *no*, as exemplified in (16) with *may* ‘never’ and
 313 *gens* ‘any, nothing, none’.

- (16) a. los uns cavant ...erbas que *may pas no* foren oydes anome-
 nar sinó d' ella
 the ones digging weeds that never not-at-all not were heart
 mentioned but for her
 “some were digging out weeds that had never been known at
 all by anyone but her”
 [CICA: *Corbatxo*. 14th century, p. 57]
- b. *gens pas no* és rahó que negun puscha ni deja haver
 poder en l' altruy
 none at-all not is reason that nobody might nor had-to have
 power on the-other
 “There is no reason to allow any of them to overpower the
 others.”
 [CICA: *Llibre del Consolat de Mar*. 14th century, p. 50]

314 This fact contrasts clearly with the ungrammaticality of Modern Catalan *pas*
 315 in the preceding contexts, which confirms that they have followed a different
 316 evolution.

317 In sum, Old Catalan *pas*, despite having a comparable distribution to that of PIs
 318 and in spite of having undergone a parallel process of reanalysis or grammatical-
 319 sation [compare (12) and (14)], displayed a different behaviour under non-verid-
 320 ical operators. Then, the question arises why it became a NEPP if, as has been
 321 shown above, it exhibited many similarities with PIs. It can be argued that the rea-
 322 son of such evolution lies in its having an uninterpretable formal feature [uNeg]
 323 (i.e., hypothesis I, in Sect. 2). Labelle and Espinal (2013) acknowledge that if a
 324 lexical item “has a negative reading in the absence of a negative marker”, it is evi-
 325 dence enough to assume that it has a syntactic formal [uNeg] feature.

¹⁸Or even a negative marker (i.e., hypothesis IIIc): in fact, *pas* became the negative marker in the Catalan spoken near the French border (Alta Garrotxa and Alt Empordà) and the South of France (Conflent, Vallespir and Roussillon).



326 Hence, the main difference between Old Catalan *pas*, on the one hand, and
 327 *gens*, *gota* and *mica*, on the other, is that the former had a formal [uNeg] feature,
 328 which was syntactically licensed under a non-veridical operator [as in (15d)] or an
 329 anti-veridical operator [as in (15b) and (15c), or (16)],¹⁹ while the latter were PIs
 330 with a semantic strong feature that could either be interpreted as positive or nega-
 331 tive at LF, depending on the context.

332 Moreover, taking into account that Modern Catalan *pas* cannot be licensed
 333 under the non-veridical context illustrated in (15d), and given that it must co-occur
 334 with the negative marker *no* in order to be licensed (see footnotes 17 and 19), it
 335 is clear that there has been a change in the licensing conditions of this element:
 336 Old Catalan *pas* was licensed under non-veridical and anti-veridical operators,
 337 while Modern Catalan *pas* must be licensed under anti-veridical operators (i.e.,
 338 the negative marker *no*, which is underspecified as $\text{Op}\neg$ [iNeg]). In fact, *pas* has
 339 been submitted to a stronger licensing requirement through its evolution, because
 340 anti-veridicality is a subcase of non-veridicality, and the n-words licensed by anti-
 341 veridicality are strict NPIs. According to Giannakidou (2011: 1684):

342 Antiveridicality ... is the notion we need as a criterion for the **stricter NPI classes**
 343 that are licensed narrowly by more ‘negative’ licensers. For this class, which is **often**
 344 **emphatic**, a growing body of literature suggests that **we must view licensing also as a**
 345 **syntactic**, and not merely a semantic relation.

346 In my opinion, this is an argument in favour of hypothesis III (that relates the
 347 evolution of these items to the loci of licensing) and sheds light on the reason why
 348 *pas* became a LNEPP (or a strict NPI, in terms of Giannakidou 2011) in Modern
 349 Catalan.²⁰

350 From now on, I am going to assess the three hypotheses given in Sect. 2 with
 351 reference to the grammaticalisation path followed by another kind of negative
 352 expressions (i.e., *poc* and *pla*), which also results in emphatic or marked nega-
 353 tion.²¹ At first sight, the two pathways can be clearly separated out by considering
 354 the semantic value of the grammaticalised item: PIs (such as Catalan *gens*, *gota*
 355 and *mica*) express a quantificational or quantitative meaning, while NEPPs (like
 356 *poc* and *pla*, for instance) convey informational or informative meaning—see
 357 Israel (1996) on polarity sensitive items.

¹⁹As for the syntactic formal [uNeg] feature of *pas* in Old Catalan, it had to be syntactically licensed, at least, under non-veridicality, but it could also be licensed under anti-veridicality. In my view, this shows that Old Catalan *pas* was closer to a true or strict NPI than *gens*, *mica* and *gota* (which were PIs without a syntactic formal feature). However, eventually it did not evolve into an NPI, but into a NEPP with metalinguistic content.

²⁰Notice that the terms Low Negative Emphatic Polarity Particle and High Negative Emphatic Polarity Particle refer to the syntactic representation of these items and the term Metalinguistic Negative Marker, which will be also used in Sect. 5, refers to the pragmatic meaning they convey.

²¹That is: “a metalinguistic use of the negative operator rather than [...] a semantic operator which is part of logical form.”—see Horn 1985: 151.



358 Batllori and Hernanz (2008, 2009) show that, from Old Catalan examples like
 359 (17), *poc* ‘little’ underwent a process of grammaticalisation and became a NEPP,
 360 as illustrated in (19). The syntactic derivation of the emphatic use of the quantita-
 361 tive adverb *poc* ‘little’ (17) is outlined in (18), whereas the one of the NEPP *poc*
 362 ‘no’ (19) is given in (20).

- (17) pensà-se que *poc* li profitaria la sua probretat volenterossa.
 thought-_{PRON} that little him would-benefit the his poverty
 voluntary
 “he thought that his voluntary poverty would serve him lit-
 tle (if the richest of the world were rewarded by Saint
 Gregory).”
 [CICA: *Vides de Sants Rosselloneses*. 13th century, p. 301]

- (18) a. [_{FORCEP} ... [_{FOCUSP} ... [_{POLP} ... [_{TP} ... [_{VP} profitaria *poc*]]]]]
 b. [_{FORCEP}... [_{FOCUSP} ... [_{POLP} ... [_{TP} *poc*_i [_{VP} profitaria t_i]]]]]]
 c. [_{FORCEP}... [_{FOCUSP} *poc*_i ... [_{POLP} t_i [_{TP} t_i [_{VP} profitaria t_i]]]]]]

363 The movement in (18) is driven by a focalization process, which is directly
 364 related to the grammaticalisation path of positive polarity markers such as *sí* in
 365 Catalan and Spanish [_{< sIC}] and *oc* in Old Catalan [_{< HOC EST}], that triggers the
 366 movement of a VP internal modifier to the left periphery of the sentence (precisely
 367 to PolP, and later on to FocusP)—see Batllori and Hernanz (2008, 2009). In (17)
 368 and (18) *poc* still has the prototypical verbal modifier quantitative meaning, but it
 369 also modifies the polarity of the whole sentence. In (19) as represented by (20),
 370 though, *poc* hasn’t got any quantitative meaning anymore and it only concerns the
 371 polarity of the whole sentence.²²

- (19) Los manestrals *poch* tenian feyna, molts dias se morian
 gent de miseria.
 The artisans no had work, many days PRON died
 people of scarcity
 “The artisans didn’t have work, and people often died of
 want”
 [DVCB sv. *Poc: Cròn. Guerra Indep. Penedès*]

372

²²As for the syntactic structure and the hierarchical order of FocusP and PolP, see Haegeman (2000: 49). She argues that the landing site of neg-fronting in expressions like *under no circumstances* is not identical to that of the wh-preposing in *under what circumstances*, and also that FocusP should be reinterpreted in terms of an articulated structure containing two hierarchically organized positions: Focus Phrase and Polarity Phrase.



373 It is a structural change that can be described as “Pol* Move > Pol* Merge”,
 374 in line with Roberts and Roussou (2003). That is, instead of base generating *poc*
 375 within the VP and moving it into Pol, as in (18), it is reanalysed as negative polar-
 376 ity, and is directly merged into Pol, see (20), so that there is a loss of movement
 377 related to the loss of quantitative meaning.

- (20) [FORCEP...[TOPICP los manestrals [FOCUSP *poc*_i ... [POLP t_i [TP teni-
 an feyna]]]]]

378 The same kind of grammaticalisation may be assumed for *pla*, although it is
 379 slightly different from *poc*, as will be seen in Sect. 5.

380 In view of the above mentioned facts, I regard *poc* as having an uninterpretable
 381 formal feature [uNeg], which is licensed by an unvalued [iNeg] Pol feature (via
 382 Move in Old Catalan and Merge in Modern Catalan), and that must be further
 383 licensed by a Focus Operator²³ that acts as a probe for its movement to the
 384 Specifier of FocusP (i.e., hypothesis IIa, in Sect. 2), as illustrated in (21):

- (21) [FORCEP...[TOPICP [FOCUSP *poc*_i Op [POLP t_i[uNeg][POL^o[iNeg]] [TP ...]]]]]

385 A comprehensive comparison between *poc* and *pla* is offered in Sect. 5 below,
 386 which is devoted to examining the metalinguistic characteristics of these negative
 387 expressions.

388 4 Synchronic Comparative Romance Data

389 The preceding sections have lent support to the main hypotheses through a syntac-
 390 tic and discursive characterization of the three different types of Catalan negative
 391 expressions (*pl/poc* ‘no’, *pas* ‘not at all’, *gens/gota/mica* ‘any, none, nothing’).
 392 This section furthers the hypotheses by putting the expressions in a Romance com-
 393 parative perspective.

²³This Focus Operator might encode the relative polarity features [same] and [reverse] (see Farkas and Bruce 2010).



394 I leave aside the contrastive study between some varieties of Modern Catalan
395 and Modern French *pas*²⁴ and also Aragonese *pas*,²⁵ as well as the analysis of the
396 similarities and differences between Catalan *poc* and Spanish *poco*.²⁶

397 Thus, this section focuses on the comparison between Catalan *poc* and
398 *pas*, on the one hand, and Italian *mica*, on the other, which provides evi-
399 dence of microvariation with regard to underspecification (that is, hypothesis I:

²⁴Catalan dialects display two instances of *pas*. In the Northern and Central areas of Catalonia *pas* is a NEPP:

- (i) *Avui no menjaré pas patates.*
Today no will-eat not-at-all potatoes
“Today I won’t eat potatoes at all”

On the other hand, in the varieties spoken in Roussillon, Vallespir, and Conflent, as well as in some small villages of Alt Empordà and la Garrotxa, *pas* is the negative marker and, thus, it is used without *no* (like French *pas*):

- (ii) a. *T’ho donaré pas [DCVB, sv. pas]*
To-you.it will-give no
“I won’t give it to you”
b. *mira que la tossuderia es pas mica saniosa*
look that the stubbornness is no not-at-all healthy
“Take into account that stubbornness is not healthy at all”
[CTILC: Esteve Caseponce. 1907. *Contes vallespirenchs*. Narrativa]

Van Gelderen (2004, 2011) *Negative Cycle* accounts for this change: first, *Late merged* into the Spec of the NegP, and then Spec to Head reanalysis according to the *Head Preference Principle*.

²⁵Aragonese *pas* displays a very similar behaviour to that of Catalan *pas*. Contrast the following examples with those given in (2) and (6).

- (i) *Hoy no s’en ha feito pas de pastura.*
today not it CL has done of pasture
“Today there was no pasture”
[http://francohardiz.blogspot.com.es/2011_10_01_archive.html]
- (ii) *Y a muchas otras tierras [...] que yo no he pas visto*
there have many other lands ... that I not have at-all seen
“There are many other lands that I haven’t seen at all”
[trad. Juan de Mandevilla, *Libro de las maravillas del mundo*, ms. Esc. MIII7 (end of the 15th century), fol. 37r, 163]

I would like to thank Álvaro Octavio de Toledo for these examples and his accurate observations on Aragonese *pas*, which I leave aside for further research.

²⁶As explained in Batllori and Hernanz (2008, 2009), Spanish quantitative *poco* is base generated in VP internal position, so that when it moves to PolP and to FocusP, its quantitative value is emphasized (and not the negative polarity of the sentence, as would be the case of Catalan *poc*). In this type of sentences there is obligatory adjacency between *poco* and the verb, and the subject occurs in postverbal position.

**Table 1** Emphatic polarity particles—Batllori and Hernanz (2013: 19)

Language	High EPPA		Low EPPA	
	Affirmative	Negative	Affirmative	Negative
Spanish	<i>sí, bien, ya</i>			
Catalan	<i>sí, bé, ja, prou, pla</i>	poc, pla	<i>ben</i>	pas

Table 2 Low emphatic polarity particles—Batllori and Hernanz (2013:20)

Language	Affirmative	Negative
Catalan	<i>ben</i>	pas
Italian	<i>bene</i>	mica
French	<i>bien</i>	–

400 having an uninterpretable formal feature [uNeg]) and the licensing requirements
 401 of negative expressions (that is, hypothesis II: licensing the [uNeg] a) under Pol
 402 [iNeg] + either the Focus Op [same]/[reverse] or the Force Op [objection], b)
 403 under an anti-veridical Op \neg [iNeg], or c) under a non-veridical Op).

404 As mentioned above, Batllori and Hernanz (2013) provide the reader with a
 405 full description of Modern Catalan emphatic polarity particles, including the nega-
 406 tive ones, such as *poc, pla* and *pas* (see Table 1), and a fairly accurate account
 407 of LNEPP in some Romance languages (see Table 2). I repeat the tables that dis-
 408 play the syntactic distribution of these items here for ease of exposition, the items
 409 under study in this section corresponding to the bolded ones.

410 As is well-known, in some Modern Italian varieties, the syntactic behaviour of
 411 *mica* is different from the one displayed by Catalan *mica* (see Sect. 3 above).
 412 Italian *mica* has been argued to convey a presuppositional value—see Cinque
 413 (1976/1991), Cinque (1999: 4 and 121–126), Falcinelli (2008), Hansen and
 414 Visconti (2009), Hernanz (2010: 33). With reference to its syntactic distribution,
 415 Cinque (1999: 4–11) comments on the fact that Italian *mica* precedes habitual
 416 adverbs, as well as *già* ‘already’, *più* ‘any longer’, *sempre* ‘always’ and *completa-*
 417 *mente* ‘completely’,²⁷ which means that it is base generated under TP. At this point,

Footnote 26 (continued)

- (i) A los huesos de la racheta *poco* acaesce quebrantamiento.
 To the bones of the carpus little happens breaking-off
 “One rarely breaks the wrist bones (because they are very hard).”
 [CORDE: 1493. Anonymous. *Traducción del Tratado de cirugía de Gui-*
do de Cauliaco.]

- (ii) [CP ... [FocusP *poco*_i ... [PolP t_i [TP ... t_i ...]]]]

²⁷The examples given by Cinque (1999: 4–11) are the following: Alle due, Gianni non ha *solita-*
mente mica mangiato, ancora “At two, G. has usually not eaten yet”. Non hanno *mica già* chia-
 mato, che io sappia “They have not already telephoned, that I know”. Non hanno chiamato *mica*
più, da allora “They haven’t telephoned not any longer, since then”. Da allora, non accetta *mica più*
sempre i nostri inviti “Since then, he doesn’t any longer always accept our invitation”.



418 it is easy to establish a parallelism between Italian *mica* and Catalan *pas*, but the
 419 examples in (22)²⁸ illustrate that Italian *mica* can also behave as a peripheral
 420 focused negative expression and, what is more, that Italian *mica* has a parallelism
 421 with Modern Catalan *poc* as well.

- (22) a. *Mica* ho detto questo!
 a'. *Poc* he dit això.
 no have said this
 'I haven't said this!"
- b. Credo che *mica* abbia detto questo.
 b'. Crec que *poc* havia dit això.
 Think that no had said this
 'I think that she/he hadn't said this"
- c. Maria dice che Gianni *mica* ha detto questo
 c'. La Maria diu que en Joan *poc* ha dit això.
 the Mary says that the John no has said this
 'Mary says that John hasn't said this"
- d. Chiudi la porta, che *mica* fa caldo
 d'. Tanca la porta, que *poca* fa calor.
 close the door, that no is hot
 'Close the door, because it isn't hot"
- e. *Maria, che *mica* ha detto questo, ...
 e'. ?La Maria, que *poc* ha dit això, ...
 the Mary, that no has said this, ...
 'Mary, who hasn't said this, ..."
- f. *Se *mica* hai detto questo, allora va tutto bene.
 f'. *Si *poc* has dit això, aleshores va tot bé.
 if no have said this, then goes all ok

422

- (23) *Mica* l'ho insultato
mica him-have insulted
 "I've not insulted him".
 [Cinque (1976: ex. (4))]

423

424 In my opinion, in (22a)–(22e), as well as in (23), *mica* moves first to PolP and
 425 then to FocusP, like Catalan *poc*. Along these lines, the ungrammaticality of (22f)
 426 follows from the fact that this sentence is a central or non-peripheral adverbial clause,
 427 which, according to Haegeman (2010a, b, 2013, and references therein), is derived

²⁸Thanks to Professor Giuseppe Longobardi for the Italian examples. He speaks a Central Italian variety (Lazio) where the use of *mica* is perfectly productive.



428 as a free relative, with *wh*-movement of an operator to the left periphery (to ForceP).
 429 Hence, movement of *mica* to FocusP creates intervention effects, because this adverbial
 430 clause is derived by operator extraction. The use of *mica* is possible, though, in
 431 main clauses (22a) and (23), complement clauses selected by epistemic verbs (22b),
 432 peripheral adverbial clauses (22c), and non-restrictive relative clauses (22d), which
 433 are not derived by the movement of an operator and, consequently, are the ones that
 434 admit Main or Root Clause Phenomena (MCP or RP), such as movement to FocusP.

435 Furthermore, the examples in (24) and (25) illustrate that *mica* also shares the
 436 same distribution as Catalan *pas*, and that in this case neither of them is ungram-
 437 matical in central or non-peripheral adverbial clauses—see (24f). Batllori and
 438 Hernanz (2013) show that *pas* is a LNEPP, which is base generated below Cinque
 439 (1999) MoodPIrrealis (i.e., the locus of the non-peripheral adverbial clause opera-
 440 tor, according to Haegeman). Thus, there are no intervention effects in the deriva-
 441 tion of this kind of adverbial clauses and, in this case, *pas* and *mica*, generated
 442 below MoodPIrrealis, are grammatical in all the utterances given from (24) to (25).

- (24) a. Non ho *mica* detto questo.
 a'. No he *pas* dit això.
 no have no said this
 “I haven’t said this at all”
- b. Credo che non abbia *mica* detto questo.
 b’. Crec que no havia *pas* dit això.
 think that no had no said this
 “I think that she/he hadn’t said this at all”
- c. Maria dice che Gianni non ha *mica* detto questo.
 c’. La Maria diu que en Joan no ha *pas* dit això.
 the Mary says that the John no has no said this
 “Mary says that John hasn’t said this at all”
- d. Chiudi la porta che non fa *mica* caldo
 d’. Tanca la porta, que no fa *pas* calor.
 close the door, that no is no hot
 “Close the door, because it isn’t hot at all”
- e. Maria, che non ha *mica* detto questo, ...
 e’. La Maria, que no ha *pas* dit això, ...
 the Mary, that no has no said this, ...
 “Mary, who hasn’t said this at all, ...”
- f. Se non hai *mica* detto questo, allora va tutto bene.
 f’. Si no has *pas* dit això, aleshores va tot bé.
 if no have no said this, then goes all ok
 “if you haven’t said this at all, then everything is ok”



- (25) *Non è mica* freddo, qua dentro
not is mica cold here inside
‘It’s not cold (at all) here’
[Cinque (1976: ex. (11a))]

444

445 Finally, I would like to draw attention to the fact that in some varieties of
446 Italian, *mica* behaves as a negative marker, which confirms hypothesis IIIc stated
447 in Sect. 2 (that is, the evolution into a negative marker).

- (26) *È mica* alta la Loren
is mica tall the Loren
‘S. Loren is not tall’
[Espinal (1993: footnote 2, ex. (iic))]

448

449 Given all that, it is clear that Italian data fit the hypotheses stated in Sect. 2.
450 Accordingly, Italian *mica* has a [uNeg] formal feature that can be licensed under
451 different operators. First of all, as the examples in (22) and (23) show, it can be
452 licensed under an unvalued [iNeg] formal feature in Pol and a Focus Operator
453 [same]/[reverse], like Modern Catalan *poc*. Secondly, as exemplified in (24) and
454 (25) Italian *mica*, like Modern Catalan *pas*, can license its [uNeg] formal feature
455 under an anti-veridical operator: Op \neg [iNeg].

456 To conclude this section, it is worth adding that Italian *mica* cannot be
457 exclusively regarded as a LNEPP (cf. Batllori and Hernanz 2013). Moreover,
458 its parallelism with Catalan *poc* and *pas* embodies different ways of ‘gram-
459 maticalising’ or fixing the expression of negation within natural languages (see
460 Biberauer 2013).

461 Table 3 summarises the syntactic formal features and the loci of licensing of the
462 main negative items under study, which corroborate the initial hypotheses posed in
463 Sect. 2. I leave aside PIs because, as said before, they have a strong semantic fea-
464 ture, but they do not have formal features.

Table 3 Negative expressions with syntactic formal features

Catalan <i>pas</i> Italian <i>mica</i>	Catalan <i>poc</i> Italian <i>mica</i>	Catalan <i>pla</i>
[uNeg]	[uNeg]	[uNeg]
Op \neg [iNeg]	unvalued [iNeg] Pol ^o	unvalued [iNeg] Pol ^o
anti-veridical Op	FocusP Op [same] /[reverse]	ForceP Op [objection]



465 The following section is going to focus on the metalinguistic content of these
466 items and on their syntactic representation, along the lines of Martins (2014).

467 5 Metalinguistic Negation in Syntactic Terms

468 In this section I examine the metalinguistic uses²⁹ of Modern Catalan *poc*, *pla*, and
469 *pas* so as to prove that the semantic content of these negative expressions is related
470 to their syntactic characterization as either HNEPP or LNEPP—that is, hypotheses
471 IIIa and IIIb.

472 Notice that Martins (2014) classifies Metalinguistic Negative Markers (MNM)
473 into two categories: Peripheral MNM and Internal MNM. Roughly, for ease of
474 exposition, we could take HNEPP and PMNM, on the one hand, and LNEPP and
475 IMNM, on the other, to be the same kind of elements.

476 Before checking whether hypothesis III is confirmed by Catalan data in relation
477 to *poc*, *pla*, and *pas*, however, I refer to Farkas and Bruce (2010) and Martins
478 (2014), because I rely on some of their findings. Farkas and Bruce (2010: 106–
479 107) put forward two types of polarity features that can capture several metalin-
480 guistic uses of negation: (i) absolute polarity features ([+], [–]), and (ii) relative
481 polarity features ([same], and [reverse]; i.e., agreement and disagreement).
482 Martins (2014) suggests that a third relative polarity feature [objection]³⁰ encoded
483 in the CP domain³¹ should be regarded, and, as said above, she argues in favour of
484 two kinds of metalinguistic negative markers (MNM) to license assertive and/or
485 evaluative features. In line with this, she poses that Internal MNM that license
486 [+ assertive] features and are rooted in the TP domain, can move to Spec,CP after
487 having undergone morphological merge with V, whereas Peripheral MNM that
488 license [+ assertive] and [+ evaluative] features are merged in Spec,CP.

489 Furthermore, Martins (2014) points out that Internal Metalinguistic Negative
490 Markers (IMNM) and Peripheral Metalinguistic Negative Markers (PMNM) can
491 be discriminated by their response to following tests: (i) availability in isolation
492 and nominal fragments, (ii) ability to deny a negative proposition, (iii) compatibil-
493 ity with idiomatic sentences, (iv) with coordinate structures featuring a sequence
494 of events, and (v) also with VP ellipsis. While IMNM trigger ungrammaticality in
495 these syntactic environments, PMNM are perfect in all these contexts.

²⁹As defined by Horn (1989/2001: 363), “metalinguistic negation focuses, not on the truth or falsity of a proposition, but on the assertability of an utterance.” It does not necessarily bring about the untruth of the equivalent affirmative proposition, and “can either be anchored in the previous utterance or deny a common ground presupposition” (Martins 2014). See Lee (this volume) for additional information with regard to the way metalinguistic negation is processed.

³⁰According to her, this feature [objection] “helps identify responding assertions, among declaratives”.

³¹Martins distinction between relative features encoded in the CP domain (i.e., [same], [reverse] and [objection]) and polarity features encoded in SigmaP (i.e., [+] and [–]) can be captured in my analysis under the assumption that the former are encoded either in ForceP or FocusP, and the latter in PolP.



496 Consistent with this, I put *pla*, *poc* and *pas*³² through these tests in order to see
 497 whether their metalinguistic content is directly related to their syntactic nature and
 498 to prove that hypotheses IIIa and IIIb (that is, becoming either a HNEPP or a
 499 LNEPP depending on the locus of licensing) are right. The results show that *pla* (a
 500 HNEPP) can be regarded as an PMNM, and *pas* (a LHNEPP) as an IMNM,
 501 because *pla* can occur in isolation and also in nominal fragments, as illustrated by
 502 (27a) and (27b), whereas *pas* cannot, as (28a) and (28b) show.

- (27) a. – En Joan ha pagat el menjar, no? – Ell *pla* / – Això *pla*
 the John has paid the meal, not he not this not
 “– John has paid the meal, hasn’t he? – No, he hasn’t.”
- b. – Diu que comprarem el cotxe vermell. – El vermell *pla*.
 he-says that we-will-buy the car red the red not
 “–He says that we are buying the red car. –Not the red one.”
- (28) a. – En Joan ha pagat el menjar, no? – *Ell *pas* / – *Això *pas*
 the John has paid the meal, not he not this not
- b. – Diu que comprarem el cotxe vermell. – *El vermell *pas*.
 he-says that we-will-buy the car red the red not

503 And *pla* can deny a negative proposition, as in (29), but *pas* cannot, see (30).

- (29) – Ell no pot estar begut, perquè ell no beu. – *Pla* que no.
 he no can be drunk because he no drink no that not
 “–He can’t be drunk, because he doesn’t drink. –Yes, he
 does.”
- (30) – Ell no pot estar begut, perquè ell no beu.
 he no can be drunk because he no drink
 – **Pas* que no. / – *No *pas*.
 no that not no not-at-all

504

³²In regard to *pas*, in Modern Catalan it requires the presence of the negative marker *no* to be licensed, and cannot convey a negative meaning on its own. Espinal (1993: 355) already stated that in Modern Catalan it is *no-pas* that cancels a conceptual assumption, confirms someone’s expectations (i.e., a negative proposition or a conversational implicature), and reinforces negation. According to her, *no-pas* doesn’t contribute to the “explicit content of the proposition or to truth-conditions” and enriches “linguistically undetermined language expressions, by implying a non-descriptive use of negation” (Espinal 1993:368). Thus, Modern Catalan *no-pas* is a Metalinguistic Negation Marker (MNM).



505 Moreover, *pla* can be used with idiomatic expressions (31), but *pas* cannot (32).

(31) En Joan *pla* (que) somia truites
the John not (that) dreams omelettes
“John doesn’t daydream”

(32) *En Joan somia *pas* truites
the John dreams not omelettes

506 And *pla* can be employed in coordinate structures that constitute a sequence of
507 events, as in (33). On the contrary, *pas* triggers ungrammaticality in these cases,
508 see (34).

(33) – Es van quedar sense cèntims i van deixar la feina.
PRON AUX_{PAST} run without money and AUX_{PAST} leave the job
“They run out of money and gave up working.”
– Ells *pla* que es van quedar sense cèntims i van
they not that PRON AUX_{PAST} run without money and AUX_{PAST}
deixar la feina. Es van quedar sense cèntims perquè
leave the job PRON AUX_{PAST} run without money because
van perdre la feina.
AUX_{PAST} lose the job
“They didn’t run out of money and gave up working. They
run out of money, because they lost their job.”

(34) – Es van quedar sense cèntims i van deixar la feina.
PRON AUX_{PAST} run without money and AUX_{PAST} leave the job
“They run out of money and gave up working.”
– *Ells es van quedar *pas* sense cèntims i van
they PRON AUX_{PAST} run not without money and AUX_{PAST}
deixar la feina. Es van quedar sense cèntims perquè
leave the job PRON AUX_{PAST} run without money because
van perdre la feina.
AUX_{PAST} lose the job

509 Furthermore, *pla* is compatible with VP/TP ellipsis, while *pas* is not, as the
510 examples in (35) and (36) show.



- (35) –En Joan li va regalar un ram de roses a la
 the John to-her AUX_{PAST} present a bunch of roses to the
 seva filla.– Ell *pla*.
 her daughter he not
 “–John presented her daughter with a bunch of roses.–He
 didn’t.”
- (36) –En Joan li va regalar un ram de roses a
 the John to-her AUX_{PAST} present a bunch of roses to
 la seva filla. –*Ell *pas*.
 the her daughter he not

511 Last but not least, Martins (2014) takes the incompatibility of PMNM with evi-
 512 dential adverbs as a proof for their merge into Spec,CP, because they compete for
 513 the same structural position in Cinque (1999) hierarchic structure. Notice, though,
 514 that under my analysis PMNMs merge in ForceP (see footnote 31). Along the lines
 515 of Hernanz (2006: 144), I consider that these adverbs are merged in ForceP, which
 516 is “the syntactic domain that expresses assertion and which provides the structure
 517 to host modality operators”. The predictable incompatibility of *pla* with this kind
 518 of adverbs is shown in (37) below.

- (37) a. *Evidentment (que) *pla* (que) vindrà.
 Evidently that not that will-come
- b. **Pla* (que) vindrà evidentment.
 Not that will-come evidently

519 So far we have seen that *pla* is a high emphatic polarity particle with a periph-
 520 eral metalinguistic negative marker meaning and that *pas* is a low emphatic polar-
 521 ity particle with an internal metalinguistic negative marker reading. In the variety
 522 of Modern Catalan under study *pas* can merge morphologically³³ into the auxil-

³³Further evidence in favour of considering that the merge undergone by *pas* is morphological comes from the fact that neither an adverb nor a complement can interfere between *pas* and the auxiliary or the past participle, as illustrated in the following examples:

- (i) *La Maria *no* ha mai/més/sempre *pas* vingut
 Mary not has never/more/always at-all come
- (ii) *La Maria *no* ha vingut mai/més/sempre *pas*
 Mary not has come never/more/alway at-all
- (iii) *La Maria *no* ha menjat patates *pas*
 Mary not has eaten potatoes at-all

It is worth pointing out that the auxiliary and the participle constitute a morphological cluster in Modern Catalan.



523 iary head or the participle in TP, giving as a result the sequence ‘AUX PAS
524 PARTICIPLE’ or ‘AUX PARTICIPLE PAS’. Hence, the impossibility of licensing
525 (N)PIs follows³⁴ (see also footnote 7), and its [uNeg] feature is only licensed
526 under the anti-veridical operator *no*.

527 From now on, I compare *pla* and *pas*, on the one hand, with *poc*, on the other,
528 to find out whether *poc* is also an PMNM or not. At first glance, it is clear that *poc*
529 parallels with *pla* from a syntactic standpoint: both of them can license (N)PIs,
530 for instance (see Rigau 2004; Batllori and Hernanz 2013, for more information
531 on this). However, *poc* also triggers ungrammaticality when put through the tests
532 illustrated from (27) to (36), but it can co-occur with evidential adverbs, see (38).
533 So it cannot be analysed in the same terms as *pla*. It also differs from *pas* in that it
534 cannot merge morphologically with V (**has poc vist* ‘have not seen’).

- (38) a. Evidentment (que) *poc* ho farà.
Evidently that no it will-do
‘Evidently he won’t do it’
- b. *Poc* ho farà evidentment.
No it will-do evidently
‘He evidently won’t do it’

535

536 I take all this as evidence in favour of hypothesis IIa concerning the locus of
537 licensing: *Poc* is licensed under a FocusP operator (the locus of the relative polar-
538 ity features [same] and [reverse]), whereas *pla* is licensed under a ForceP operator
539 (the locus of the relative polarity feature [objection]).

540 Furthermore, I conclude that the division between PMNM and IMNM is not
541 enough to capture the behaviour of all metalinguistic negators, because Catalan
542 *poc* is a HNEPP (like *pla*), but it is not a PMNM in the terms given by Martins
543 (2014). I leave this aspect for further research together with the need to explore in
544 more detail the parallelism between Catalan *poc* and Italian *mica*, and to deter-
545 mine the highest adverb in Cinque (1999) hierarchy that can co-occur with *poc*
546 and *mica*.³⁵

547 Finally, it is also worth saying that some metalinguistic negators (specifically,
548 HNEPP) can also license (N)PIs.

³⁴As a functional projection, TP conveys procedural meaning, and thus the impossibility of licensing (N)PIs follows.

³⁵I would like to thank Professor Ian Roberts for this observation that I leave aside for subsequent research, because it is beyond the scope of this paper.



549 6 Conclusion

550 This paper shows that the historical evolution of an n-word is conditioned by
 551 the presence or absence of a syntactic formal feature [uNeg] and that depend-
 552 ing on their having an uninterpretable formal feature [uNeg] or not, minimizers
 553 can either become Polarity Items or Emphatic Polarity Particles (with metalinguistic
 554 content). It establishes three different ways of fixing the syntactic expres-
 555 sion of negation within natural languages: (1) under an unvalued [iNeg] Pol
 556 feature and either a Focus Operator that encodes the meaning [same]/[reverse],
 557 or a Force Operator that encodes [objection]; (2) under an anti-veridical opera-
 558 tor Op_{\neg} [iNeg]; and (3) under a non-veridical operator. Moreover, the paper also
 559 argues in favour of the significant role of syntax in the expression of metalinguis-
 560 tic negation. Accordingly, it examines Catalan marked versus unmarked negation
 561 to show that the cognitive mechanisms involved in their meaning are instances of
 562 Metalinguistic Negation. It also checks their licensing requirements and examines
 563 their diachronic evolution, and their distributional behaviour from a comparative
 564 standpoint. It draws attention to the trigger of the negative value of these negative
 565 expressions (i.e., the [uNeg] formal feature), and illustrates the separate historical
 566 pathway followed by PIs and NEPPs. It is shown that Old Catalan *pas* contrasted
 567 with PIs such as *gens*, even though they displayed a similar syntactic distribu-
 568 tion, in having a formal [uNeg] feature which could be licensed under non-veridi-
 569 cal operators. The comparison between Catalan *poc* and *pas*, on the one hand,
 570 and Italian *mica*, on the other, provides evidence of microvariation with regard to
 571 underspecification and licensing requirements of negative expressions given in the
 572 initial hypotheses: Italian *mica* has an uninterpretable formal feature [uNeg] that
 573 can be licensed under two operators (first, under an unvalued [iNeg] Pol feature
 574 and a Focus Operator, like Modern Catalan *poc*; and, second, under an anti-veridi-
 575 cal operator: Op_{\neg} [iNeg]). Additionally, both Catalan *pas* and Italian *mica* brought
 576 about a negative marker in some particular dialects. In Sect. 5, the syntactic rep-
 577 resentation of metalinguistic content is evaluated and I conclude that the division
 578 between PMNM and IMNM is not enough to capture the behaviour of all metalinguistic
 579 negators, on the one hand, and that some metalinguistic negators (specifi-
 580 cally HNEPP) can also license (N)PIs, on the other.

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